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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/080,478 | 02/22/2002 | Siani Lynne Pearson | B-4519 619565-9 | 8516 |

7590 12/14/2005

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, CO 80527-2400

EXAMINER

PATEL, NIRAV B

| ART UNIT | PAPER NUMBER |
|----------|--------------|
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2135

DATE MAILED: 12/14/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/080,478

Applicant(s)

PEARSON ET AL.

Examiner

Nirav Patel

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10/8/05 (Amendment).
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3 and 6-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 6-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Applicant's amendment filed on November 8, 2005 has been entered.
2. Claims 1-3, 6-8 are pending. Claims 4, 5, 9 and 10 are cancelled by the applicant and claims 1, 7 and 8 are also amended by the applicant.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-5, 8, 9 and 10 are rejected under 35 U.S.C. 102(e) as being anticipated by Dickinson et al (US Patent No. 6,853,988).

As per claim 1, Dickinson teaches:

an investigation agency selecting a user within the trusted computing environment [**Fig. 1, 2 col. 3 lines 14-19, lines 60-67**];

the investigation agency obtaining consent from the selected user to use an identity of the user in an investigation of a suspect party [**col. 7 lines 43-49, col. 2 lines 36-41**];

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the investigation agency creating an investigation identity which is owned by the user **[col. 7 lines 53-59]**; investigation agency using the investigation identity to take part in transactions with the suspect party **[Fig. 1, 2 col. 8 lines 4-6]**; and creating a record of those transactions **[col.12 lines 22-24, 27-30]**.

As per claim 2, the rejection of claim 1 is incorporated and further Dickinson discloses:
the investigation identity is an anonymous identity **[col.5 lines 55-57, col. 7 lines 56-59]**.

As per claim 3, the rejection of claim 1 is incorporated and further Dickinson discloses:
transactions made using the investigation identity are kept in an authenticated record by a trusted party **[Fig.2 col. 12 lines 22-24, 27-30]**.

As per claim 7, the rejection of claim 1 is incorporated and further Dickinson teaches that the user can inhibit the use of the investigation identity **[col. 10 lines 65-67, col. 11 lines 1-7]**.

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As per claim 8, the rejection of claim 1 is incorporated and further Dickinson discloses:

the trusted computing environment includes a trusted party that maintains the identities of parties to a transaction such that the identity of each party can be authenticated by other parties whilst each party is anonymous to the other parties **[Fig. 1, 2 col. 5 lines 52-53, 55-57, col. 7 lines 56-59, col. 2 lines 36-41]**.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Dickinson et al (US Patent No. 6,853,988) and further in view of Porcaro (US 5,774,717).

As per claim 6, the rejection of claim 1 is incorporated and Dickinson doesn't expressively mention that the *user can monitor the transactions* made using the investigation identity.

However, Porcaro discloses that the *user can monitor the transactions* made using the investigation identity **[Fig. 3 col. 3 lines 63-65 "Fig. 3 depicts the Transaction Replay Status panel that allows the user to monitor the status"]**

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to incorporate the teaching of Porcaro into the teaching of Dickinson to allow the user for monitoring the transaction status. The modification would be obvious because one of ordinary skill in the art would be motivated to utilize the panel so that the user can monitor the progress and status of transaction and quickly resolve any conflict [**Porcaro col. 2 lines 29-30**].

Response to Amendment

5. Applicant has amended claims 1, 7 and 8 which necessitated new ground of rejection. See rejection above.

Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and

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any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

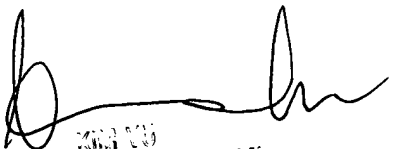
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nirav Patel whose telephone number is 571-272-5936. The examiner can normally be reached on 8 am - 4:30 pm (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

NBP

12/7/05.


KIM VU
JURY PATENT EXAMINER
ELECTRONIC BUSINESS CENTER 2135